

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this correspondence (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 with Express Mail No. EU736542254US on the date indicated below in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450

3/11/04  
Date

Michael J. D'Aurelio  
Michael J. D'Aurelio

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|  |   |                            |
|--|---|----------------------------|
| In re the application of:                | ) |                            |
| Michael J. Schuster                      | ) |                            |
| Serial Number: Not yet assigned          | ) | Art Unit: Not yet assigned |
| Filing Date: Herewith                    | ) | Examiner: Not yet assigned |
| Title: TOILET FILL VALVE WITH ADJUSTABLE | ) | Docket No.: 1008-100500    |
| BOWL FILL FLOW                           | ) |                            |
|  | ) |                            |
|  | ) |                            |

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR §1.97(b).
- ☐ under 37 CFR §1.97(c) together with either a:
- ☐ a statement under 37 CFR §1.97(e) is provided below; or
  - ☐ a fee of \$\_\_\_\_\_ as required under 37 CFR 1.17(p) paid as indicated below.
- ☐ under 37 CFR §1.97(d) together with a statement under 37 CFR §1.97(e) as provided below; and a fee of \$\_\_\_\_\_ as set forth under 37 CFR 1.17(p) paid as indicated below.

In addition, the following apply as indicated:

- ☒ Submitted herewith is/are form(s) PTO 1449 along with copies of patents, publications, or other information of which applicant(s) are aware that applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR §1.56.
- ☐ Submitted herewith is/are forms PTO 1449 that identify the patents, publications, or other information previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. §120.

| Serial Number | Filing Date |
|---------------|-------------|
|               |             |
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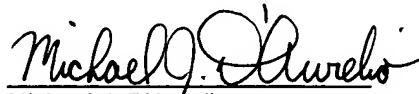
- ☐ A concise explanation of the relevance of any foreign language patents, publications, and other information listed on the form(s) PTO 1449 submitted herewith as presently understood by the individual(s) designated in 37 CFR §1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.
- ☐ The undersigned hereby states as set forth under 37 CFR §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the this information disclosure statement.
- ☐ The undersigned hereby states as set forth under 37 CFR §1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the information disclosure statement.

Any fee due as indicated above is provided as indicated below:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed herewith that covers or includes the required fee as indicated above.
- ☐ A form PTO-2038 is enclosed herewith authorizing a credit card charge in the amount of \$\_\_\_\_\_.
- ☒ Please charge to Deposit Account 50-2425 the sum of \$0.00.
- ☒ The Commissioner is authorized to charge any insufficiencies and the Commissioner is hereby requested to credit any overpayment to Deposit Account 50-2425.

Applicant(s) respectfully requests that the references listed in the form(s) PTO 1449 be "made of record" in the above-identified patent application.

Respectfully submitted,



Michael J. D'Aurelio  
Registration Number 40,977

D'Aurelio & Mathews, LLC  
96 Church Street  
Chagrin Falls, OH 44022  
Phone: (440) 729-7450  
Fax: (440) 729-7465

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

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| Application Number     |                     |
| Filing Date            | Herewith            |
| First Named Inventor   | Michael J. Schuster |
| Art Unit               |                     |
| Examiner Name          |                     |
| Attorney Docket Number | 1008-100500         |

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          | US- 2002/0092090 A1                      | 07-18-2002                     | Johnson  |   |
|                       |                          | US- 2002/0162166 A1                      | 11-07-2002                     | Saar et al.  |   |
|                       |                          | US- 3,986,216                            | 10-19-1976                     | Davis et al.                                       |   |
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|                       |                          | US- 4,007,498                            | 02-15-1977                     | Pearson  |   |
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|                       |                          | US- 4,090,532                            | 05-23-1978                     | Story, Jr.   |   |
|                       |                          | US- 4,145,775                            | 03-27-1979                     | Butler   |   |
|                       |                          | US- 4,392,260                            | 07-12-1983                     | Bensen   |   |
|                       |                          | US- 4,707,867                            | 11-24-1987                     | Kawabe et al.                                      |   |
|                       |                          | US- 4,764,996                            | 08-23-1988                     | Pino   |   |
|                       |                          | US- 4,793,588                            | 12-27-1988                     | Laverty, Jr.                                       |   |
|                       |                          | US- 4,918,764                            | 04-24-1990                     | Haselswerdt et al.                                 |   |
|                       |                          | US- 4,980,932                            | 01-01-1991                     | Stemples   |   |
|                       |                          | US- 5,007,452                            | 04-16-1991                     | Antunez  |   |
|                       |                          | US- 5,036,553                            | 08-06-1991                     | Sanderson  |   |
|                       |                          | US- 5,052,060                            | 10-01-1991                     | Makita et al.                                      |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
|                       |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
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Examiner  
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Sheet 2 of 2

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|                       |                          | US- 5,245,710                            | 09-21-1993                     | Haselswerdt et al.                                 |   |
|                       |                          | US- 5,362,026                            | 11-08-1994                     | Kobayashi et al.                                   |   |
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|                       |                          | US- 5,715,860                            | 02-10-1998                     | Horad  |   |
|                       |                          | US- 6,202,227 B1                         | 03-20-2001                     | Gurowitz   |   |
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